



## BUSINESS PACKET

L-B  
(01/2016)

You must complete the entire Business Packet including all necessary ownership information and personal history sheets. Select the entity page(s) that coincides with your business structure. All officers, directors, stockholders, trustees, and beneficiaries holding ownership in this business must be disclosed.

- L-C (Corporation, Trust, City, County or University)
- L-LLC (Limited Liability Company)
- L-P (Partnership)
- L-PHS (Personal History Sheet)

If you are applying as an individual, you will submit this page and the L-PHS (Personal History Sheet).

### OWNER INFORMATION

1. Type of Owner

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Individual                | <input type="checkbox"/> Limited Partnership           | <input type="checkbox"/> City/County/University |
| <input type="checkbox"/> Corporation               | <input type="checkbox"/> Limited Liability Partnership | <input type="checkbox"/> Other _____            |
| <input type="checkbox"/> Limited Liability Company | <input type="checkbox"/> Trust                         |   |
| <input type="checkbox"/> Partnership               | <input type="checkbox"/> Joint Venture                 |   |

2. Business Owner/Applicant

3. Federal Employer Identification No. (FEIN)

### BUSINESS INFORMATION

4. Has any person listed in this Business Packet, or his or her spouse, been finally convicted or received deferred adjudication for any of the following offenses? ☐ Yes ☐ No

If "YES," indicate type of offense and attach an explanation:

- ☐ any felony offense
- ☐ prostitution
- ☐ bookmaking
- ☐ gambling or gaming
- ☐ bootlegging
- ☐ vagrancy offense involving moral turpitude
- ☐ any offense involving dangerous drugs or controlled substances as defined in Texas Controlled Substances Act
- ☐ any offense involving firearms or a deadly weapon
- ☐ more than three violations of the Texas Alcoholic Beverage Code relating to minors
- ☐ violations of the Texas Alcoholic Beverage Code resulting in a criminal fine of \$500
- ☐ violations of an individual's civil rights or discrimination against an individual on the basis of race, color, creed or national origin

If "YES," has it been five years since the termination of a sentence, parole or probation served for any offenses indicated above? ☐ Yes ☐ No

If "NO," attach an explanation.

5. Has any person listed in this Business Packet, or his or her spouse, had a cancellation of a license or permit in the past five years? ☐ Yes ☐ No

If "YES," attach an explanation.

The applicant, license/permit holder, agent, servant or employee may not directly or indirectly have any overlapping ownerships or other prohibited relationships (including unfair competition and unlawful trade practices) between those engaged in the alcoholic beverage industry at different levels, that is, between a manufacturer and a wholesaler or retailer, or between a wholesaler and a retailer, as the words "wholesaler," "retailer," and "manufacturer" are ordinarily used and understood, regardless of the specific names given a license\permit. Reference Chapter 102 et seq.

6. Is any person, involved in this application, in violation of the above requirements? ☐ Yes ☐ No  
If **"YES,"** attach an explanation.

## WARNING AND SIGNATURE

### If Applicant Is/Must Sign

Individual/Individual Owner	Corporation/Officer
Partnership/Partner	Limited Liability Company/ Officer or Manager
Limited Partnership/General Partner	

**EACH LICENSEE OR PERMITTEE SHALL HAVE EXCLUSIVE OCCUPANCY AND CONTROL OF THE ENTIRE LICENSED LOCATION WITH RESPECT TO SALE OF ALCOHOLIC BEVERAGES. ANY ARRANGEMENT THAT SURRENDERS SUCH CONTROL OF THE EMPLOYEES, PREMISES OR BUSINESS, INCLUDING PROFITS AND LOSSES, TO PERSONS OTHER THAN THE LICENSEE OR PERMITTEE IS UNLAWFUL.**

**WARNING:** Section 101.69 of the Texas Alcoholic Beverage Code states: "...a person who makes a false statement or false representation in an application for a permit or license or in a statement, report, or other instrument to be filed with the Commission and required to be sworn commits an offense punishable by imprisonment in the Texas Department of Criminal Justice for not less than 2 nor more than 10 years."

**BY SIGNING YOU ARE SWEARING TO ALL INFORMATION AND ATTACHMENTS TO THIS PACKET.**

PRINT NAME \_\_\_\_\_ SIGN HERE \_\_\_\_\_

TITLE \_\_\_\_\_

Before me, the undersigned authority, on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, the person whose name is signed to the foregoing application personally appeared and, duly sworn by me, states under oath that he or she has read the said application and that all the facts therein set forth are true and correct.

SIGN HERE \_\_\_\_\_  
NOTARY PUBLIC

**S E A L**



# CORPORATION

L-C  
(01/2016)

This Corporation form should be completed for original applications or for changes of officers, directors, stockholders, trustees, and beneficiaries holding ownership in this business. This form is included in the Business Packet (L-B) for new applicants. License/Permit holders reporting changes use Business Packet for Reporting Changes (L-BRC).

For more information contact your local TABC office or visit us at: [www.tabc.texas.gov](http://www.tabc.texas.gov)

## ENTITY INFORMATION

1. Federal Employer Identification Number (FEIN)

2. Business Entity Name

3. Filing Number

4. Date Filed (mm/dd/yyyy)

State

Class and Number of Shares Issued

## CORPORATE OWNERSHIP INFORMATION

☐ Officer ☐ Director ☐ Stockholder ☐ Trustee/Beneficiary

SSN	Issuing State/DL No.	Date of Birth (mm/dd/yyyy)	Class & No. of Shares	
Last Name		First Name	MI	Title

☐ Officer ☐ Director ☐ Stockholder ☐ Trustee/Beneficiary

SSN	Issuing State/DL No.	Date of Birth (mm/dd/yyyy)	Class & No. of Shares	
Last Name		First Name	MI	Title

☐ Officer ☐ Director ☐ Stockholder ☐ Trustee/Beneficiary

SSN	Issuing State/DL No.	Date of Birth (mm/dd/yyyy)	Class & No. of Shares	
Last Name		First Name	MI	Title

☐ Officer ☐ Director ☐ Stockholder ☐ Trustee/Beneficiary

SSN	Issuing State/DL No.	Date of Birth (mm/dd/yyyy)	Class & No. of Shares	
Last Name		First Name	MI	Title

**CORPORATE OWNERSHIP INFORMATION** *CONTINUED*☐ Officer ☐ Director ☐ Stockholder ☐ Trustee/Beneficiary

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Class &amp; No. of Shares

Last Name First Name MI Title

☐ Officer ☐ Director ☐ Stockholder ☐ Trustee/Beneficiary

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Class &amp; No. of Shares

Last Name First Name MI Title

☐ Officer ☐ Director ☐ Stockholder ☐ Trustee/Beneficiary

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Last Name First Name MI Title

☐ Officer ☐ Director ☐ Stockholder ☐ Trustee/Beneficiary

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Class &amp; No. of Shares

Last Name First Name MI Title

**IF YOU NEED MORE SPACE USE ADDITIONAL COPIES OF THIS PAGE**



# LIMITED LIABILITY COMPANY

L-LLC  
(01/2016)

This Limited Liability Company form should be completed for original applications or for changes of officers, managers, and members holding ownership in this business. This form is included in the Business Packet (L-B) for new applicants. License/Permit holders reporting changes use Business Packet for Reporting Changes (L-BRC).

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## ENTITY INFORMATION

1. Federal Employer Identification Number (FEIN)

2. Business Entity Name

3. Filing Number

4. Date Filed (mm/dd/yyyy)	State	Class and Number of Memberships or Units Issued
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## LIMITED LIABILITY COMPANY OWNERSHIP INFORMATION

☐ Officer ☐ Manager ☐ Member

SSN	Issuing State/DL No.	Date of Birth (mm/dd/yyyy)	Percent Membership or Units Held	
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Last Name	First Name	MI	Title
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☐ Officer ☐ Manager ☐ Member

SSN	Issuing State/DL No.	Date of Birth (mm/dd/yyyy)	Percent Membership or Units Held	
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Last Name	First Name	MI	Title
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☐ Officer ☐ Manager ☐ Member

SSN	Issuing State/DL No.	Date of Birth (mm/dd/yyyy)	Percent Membership or Units Held	
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Last Name	First Name	MI	Title
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☐ Officer ☐ Manager ☐ Member

SSN	Issuing State/DL No.	Date of Birth (mm/dd/yyyy)	Percent Membership or Units Held	
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Last Name	First Name	MI	Title
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**LIMITED LIABILITY COMPANY OWNERSHIP INFORMATION** *CONTINUED*☐ Officer ☐ Manager ☐ Member

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent Membership or Units Held

Last Name

First Name

MI

Title

☐ Officer ☐ Manager ☐ Member

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent Membership or Units Held

Last Name

First Name

MI

Title

☐ Officer ☐ Manager ☐ Member

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent Membership or Units Held

Last Name

First Name

MI

Title

☐ Officer ☐ Manager ☐ Member

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent Membership or Units Held

Last Name

First Name

MI

Title

☐ Officer ☐ Manager ☐ Member

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent Membership or Units Held

Last Name

First Name

MI

Title

☐ Officer ☐ Manager ☐ Member

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent Membership or Units Held

Last Name

First Name

MI

Title

☐ Officer ☐ Manager ☐ Member

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent Membership or Units Held

Last Name

First Name

MI

Title

**IF YOU NEED MORE SPACE USE ADDITIONAL COPIES OF THIS PAGE**



## PARTNERSHIP

L-P  
(01/2016)

This Partnership form should be completed for original applications or for changes of partnerships, limited partnerships, limited liability partnerships, and joint ventures holding ownership in this business. This form is included in the Business Packet (L-B) for new applicants. License/Permit holders reporting changes use Business Packet for Reporting Changes (L-BRC).

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### ENTITY INFORMATION

1. Federal Employer Identification Number (FEIN).

2. Business Entity Name

3. Filing Number

4. Date Filed (mm/dd/yyyy)

State

### PARTNERSHIP INFORMATION

☐ General Partner ☐ Limited Partner

SSN	Issuing State/DL No.	Date of Birth (mm/dd/yyyy)	Percent of Interest	
Last Name	First Name		MI	Title

☐ General Partner ☐ Limited Partner

SSN	Issuing State/DL No.	Date of Birth (mm/dd/yyyy)	Percent of Interest	
Last Name	First Name		MI	Title

☐ General Partner ☐ Limited Partner

SSN	Issuing State/DL No.	Date of Birth (mm/dd/yyyy)	Percent of Interest	
Last Name	First Name		MI	Title

☐ General Partner ☐ Limited Partner

SSN	Issuing State/DL No.	Date of Birth (mm/dd/yyyy)	Percent of Interest	
Last Name	First Name		MI	Title

**PARTNERSHIP INFORMATION *CONTINUED***☐ General Partner ☐ Limited Partner

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent of Interest

Last Name First Name MI Title

☐ General Partner ☐ Limited Partner

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent of Interest

Last Name First Name MI Title

☐ General Partner ☐ Limited Partner

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent of Interest

Last Name First Name MI Title

☐ General Partner ☐ Limited Partner

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent of Interest

Last Name First Name MI Title

☐ General Partner ☐ Limited Partner

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent of Interest

Last Name First Name MI Title

☐ General Partner ☐ Limited Partner

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent of Interest

Last Name First Name MI Title

☐ General Partner ☐ Limited Partner

SSN Issuing State/DL No. Date of Birth (mm/dd/yyyy) Percent of Interest

Last Name First Name MI Title

**IF YOU NEED MORE SPACE USE ADDITIONAL COPIES OF THIS PAGE**



# PERSONAL HISTORY SHEET

L- PHS  
(01/2016)

Answer all questions. Any false statement will disqualify you and subject you to prosecution under section 101.69 of the Texas Alcoholic Beverage Code and other criminal statutes.

## APPLICANT

1. Trade Name: _____					
2. Location Address: _____					
3. Applicant's Marital Status: <input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/> Widowed					
4. Applicant's Social Security Number		Issuing State/ Driver's License No		Date of Birth (mm/dd/yyyy)	
Applicant's Full Legal Name (Last, First, Middle)				Place of Birth (City, State, Country)	
Applicant's Email Address					
Race	Sex	Height	Weight	Hair Color	Eye Color

## APPLICANT'S SPOUSE

5. Spouse's Social Security Number		Issuing State/ Driver License Number		Date of Birth (mm/dd/yyyy)	
Spouse's Full Legal Name (Last, First, Middle)				Place of Birth (City, State, Country)	
Race	Sex	Height	Weight	Hair Color	Eye Color

## OTHER RESIDENT

6. Do you live with anyone over the age of 18, other than your spouse?				6. <input type="checkbox"/> YES <input type="checkbox"/> NO	
If "YES," please provide their information below: (If additional space is needed, please attach a page with information.)					
Social Security Number		Issuing State/ Driver's License No		Date of Birth (mm/dd/yyyy)	
Full legal name (Last, First, Middle)		Race		Sex	

## RESIDENTIAL ADDRESSES

7. List residential addresses for the past five (5) years starting with current address. If you have not lived in Texas for the previous 12 months, you are required to provide TABC with a certified copy of your criminal background check from the state police or FBI of any state where you lived in the previous five years. (If additional space is needed, please attach a list with the following information.)							
Number and Street		City, State, ZIP		From (mm/yyyy)		To (mm/yyyy)	
				/		PRESENT	
				/		/	
				/		/	
				/		/	
8. Business Phone No.		Residential Phone No.		Mobile Phone No. (optional)			

## RESIDENT STATUS

9A. Are you a U.S. citizen?		9A. <input type="checkbox"/> YES <input type="checkbox"/> NO	
B. If "YES," answer the following: <input type="checkbox"/> Native Born <input type="checkbox"/> Naturalized. If "Naturalized," Provide the "A" Number _____			
C. If "NO," answer the following: What is your legal status in the United States? Explain below, or attach a page with information. _____			
D. Provide all documents such as Visa, Resident Alien, Employment Authorization Documents, etc. (If additional space is needed, please attach a page with information.) _____			

TABC USE ONLY	APPLICANT <input type="checkbox"/> YES <input type="checkbox"/> NO		SPOUSE <input type="checkbox"/> YES <input type="checkbox"/> NO		OTHER <input type="checkbox"/> YES <input type="checkbox"/> NO	
	CH - Date Entered / /		Supervisor's Signature			Destroy Date / /

## EMPLOYMENT HISTORY

**10.** List employment for the *past five (5) years* beginning with your current employer. Indicate periods of unemployment or retirement, including dates. If retired, include name of company from which you retired and the position you held. Also indicate if not employed outside your home.

(If additional space is needed, attach a separate sheet.)

Name of Employer	Address (Street, City, State, ZIP)	Position Held	From (mm/yyyy)	To (mm/yyyy)
				<b>PRESENT</b>

## INDIVIDUAL FINANCIAL INFORMATION

**11.** This section is for you to list the total amount of your personal investment in this location. Include notes, loans, gifts, cash, services or equipment, and operating capital. Provide investment details. Account for the original source of all investments (how acquired). Enter total dollar amount on the line of the amount invested column.

(If additional space is needed, attach a separate sheet.)

**NOTE:** If investment is in the form of a loan or gift, attach name of lender or financial institution, address, terms and security and loan/gift documents. If from an individual, attach personal information for all individuals including: Name, Social Security and Driver License Numbers, date of birth, race, sex, etc.

Amount Invested	Original Source of Investment (loans, previous employment, etc).
\$	
\$	
\$	
\$	
\$	
\$	
\$	
\$	<b>TOTAL AMOUNT OF PERSONAL INVESTMENT</b>

## SIGN AND NOTARIZE APPLICATION

**WARNING:** Section 101.69 of the Texas Alcoholic Beverage Code states: "...a person who makes a false statement or false representation in an application for a permit or license or in a statement, report, or other instrument to be filed with the Commission and required to be sworn commits an offense punishable by imprisonment in the Texas Department of Criminal Justice for not less than 2 nor more than 10 years."

I, under penalty of law, hereby swear that I have read all the information provided in this document and any attachments and the information is true and correct. I also understand any false statement or representation in this application can result in my application being denied and/or criminal charges filed against me. I also authorize the Texas Alcoholic Beverage Commission to use all legal means to verify the information provided.

**PRINT**

**NAME:** \_\_\_\_\_

**AUTHORIZED**

**SIGNATURE:** \_\_\_\_\_

BEFORE ME, the undersigned authority, on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_ the person whose name is signed to the foregoing document personally appeared and duly sworn by me, each states under oath that he or she has read the said document and that all facts therein set forth are true and correct.

**SIGN**

**HERE:** \_\_\_\_\_

**(S E A L)**

Notary Public